

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10662400             |
| Filing Date            | 2003-09-16           |
| First Named Inventor   | Peter PHELPS         |
| Art Unit               | 2616                 |
| Examiner Name          | Venkatesh N. HALIYUR |
| Attorney Docket Number | 9-13528-197US        |

**U.S. PATENTS**[Remove](#)

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       |               |                        |            |   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

[Add](#)**U.S. PATENT APPLICATION PUBLICATIONS**[Remove](#)

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       |                    |                        |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

[Add](#)**FOREIGN PATENT DOCUMENTS**[Remove](#)

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       |                                      |                           |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button.

[Add](#)**NON-PATENT LITERATURE DOCUMENTS**[Remove](#)

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |
|--------------------|---------|---|----------------|
|                    |         |   |                |

|   |                        |                      |            |
|---|------------------------|----------------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                      | 10662400   |
|   | Filing Date            |                      | 2003-09-16 |
|   | First Named Inventor   | Peter PHELPS         |            |
|   | Art Unit               | 2616                 |            |
|   | Examiner Name          | Venkatesh N. HALIYUR |            |
|   | Attorney Docket Number | 9-13528-197US        |            |

|     |   |   |                          |
|-----|---|---|--------------------------|
| NH/ | 1 | Mukul GOYAL, et al. "Shared mesh restoration: a simulation study", appears in Optical Fiber Communication Conference and Exhibit 2002, OFC 2002, CIS Dept., The Ohio States University, Publication Date 17-22 March 2002, Pages 489-490.   | <input type="checkbox"/> |
| NH/ | 2 | Robert D. DOVERSPIKE, et al. "Fast restoration in a mesh network of optical cross-connects", appears in Optical Fiber Communication Conference 1999, and International Conference on Integration Optics and Optical Fiber Communication, OFC/IOC '99 Technical Digest, Vol 1., Pages 170-172, Publication Date 1999. AT&T Labs. | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

| EXAMINER SIGNATURE |                                  |  |                 |
|--------------------|----------------------------------|--|-----------------|
| Examiner Signature | /Venkatesh Haliyur/ (11/30/2007) |  | Date Considered |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.